

REQUEST FOR PRE-QUALIFICATION OF BIDDERS FOR THE POLICE SOUTHSIDE SUBSTATION PROJECT

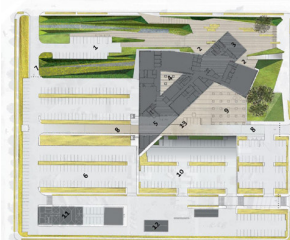
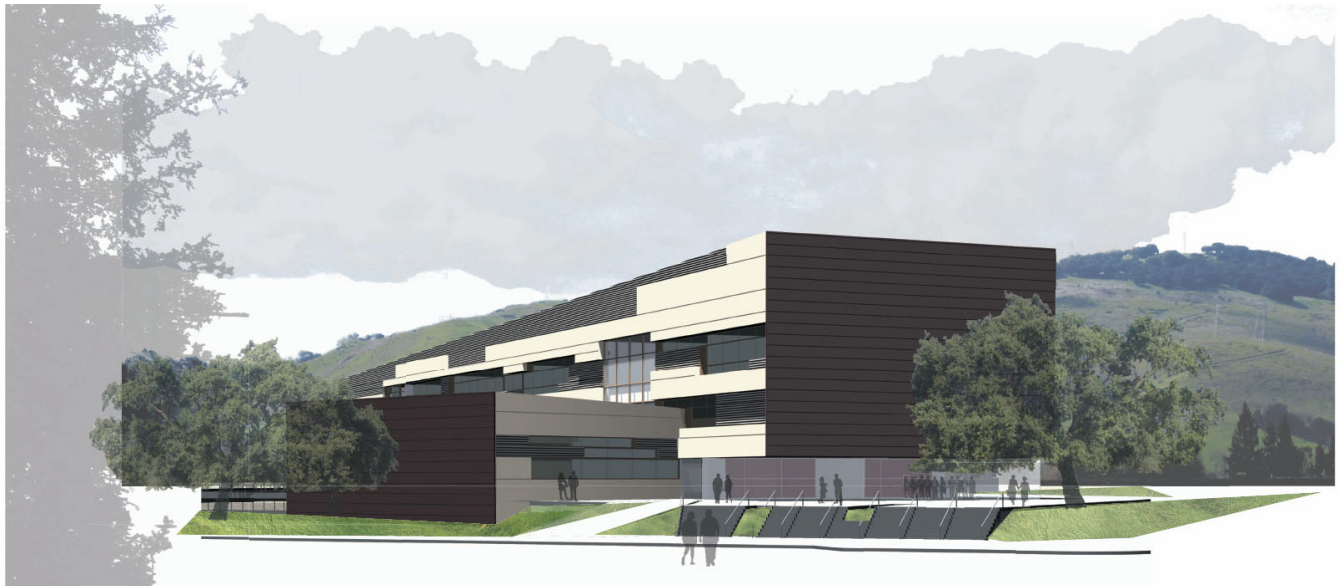


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¹ NOTE: Part VI does not require any action by the Contractor. The interview questions are questions used by the City and are included for the Contractor's information.

NOTICE TO CONTRACTORS

Notice is hereby given that the CITY has determined that all bidders on the POLICE SOUTHSIDE SUBSTATION PROJECT to be undertaken by the CITY must be pre-qualified before submitting a bid on that project. It is mandatory that all Contractors who intend to submit a bid, fully complete the pre-qualification questionnaire, provide all materials requested herein, and be approved by CITY to be on the final qualified bidders list. No bid will be accepted from a Contractor that has failed to comply with these requirements. If two or more business entities submit a bid as part of a Joint Venture, or expect to submit a bid as part of a Joint Venture, each entity within the Joint Venture must be separately qualified to bid.

FILING OF SUBMITTALS

Contractors seeking prequalification must file the fully completed prequalification questionnaire in a sealed package with the City of San José, Department of Public Works, 200 E. Santa Clara Street, Floor 6, San Jose, California 95113, on or before **4:00 PM, August 10, 2007**. Failure to provide a completed pre-qualification questionnaire before the time and date specified above will preclude prequalification and subsequent participation in the bidding and construction of the PROJECT.

Prequalification packages may be obtained by contacting:

Deedee Flauding
City of San José, Department of Public Works
200 E. Santa Clara Street, Floor 6, San Jose, CA 95113
(telephone: 408-535-8370 or e-mail: Deedee.Flauding@sanjoseca.gov)

PRE-QUALIFICATION CONFERENCE

Applicants may attend a pre-qualification conference on **Friday, July, 27, 2007, at 9:00 am, at 200 East Santa Clara St., San Jose, CA, 6th Floor**, at which time the Department of Public Works will review the requirements of the PROJECT and will address comments and questions. Attendance at this conference is **NON-MANDATORY**.

Contractors that submit prequalification submittals will be notified in a Notice of Determination regarding the firm's status, whether or not they pre-qualify to bid on the PROJECT. Contractors are advised that the act of providing a pre-qualification questionnaire is not, in itself, a guarantee that the CITY will determine that the Contractor is prequalified. A list of pre-qualified Contractors will be available during the bid period from the CITY's project manager.

END OF NOTICE

INTRODUCTION TO PRE-QUALIFICATION QUESTIONNAIRE

GENERAL

The City of San José (“CITY”) is prequalifying responsible general and prime contractors for anticipated work associated with the construction of the POLICE SOUTHSIDE SUBSTATION PROJECT (“PROJECT”). Following the solicitation for bids and bidding of the PROJECT, the CITY will award a construction contract to the lowest responsible and responsive bidder that has been deemed qualified through participation in this prequalification process. The CITY anticipates soliciting for and accepting bids for the PROJECT in September 2007.

TIME FOR SUBMISSION

Please refer to the Notice to Contractors included in this pre-qualification package for required submission deadlines. The CITY will not accept late submissions.

EXCLUSIVE PROCESS

Each prospective bidder must successfully be prequalified through the process outlined in this document. No other prequalification process completed for the CITY will meet these requirements.

PREPARATION OF PREQUALIFICATION SUBMITTAL

To be considered for prequalification, each prospective bidder must complete and submit all prequalification documents, with any attachments or other supplemental information, in one complete package by the date stated in the Notice To Contractors. The CITY requires complete answers to all questions contained in the attached pre-qualification questionnaire. The CITY will not accept information or documents from other parties. Submission of an incomplete and/or unclear prequalification submittal will result in rejection of the prospective contractor.

The prequalification submittal information should be presented bound and separated by section dividers. Oversize drawings (larger than 11x17), if provided, should be folded and inserted in plastic carriers. Three (3) copies of the prequalification submittal shall be delivered to the City of San José. The completed prequalification submittal cannot exceed 25 pages.

The CITY reserves the right to waive minor irregularities and omissions in the information contained in the prequalification submittal and the form of such information.

REQUESTS FOR INFORMATION

Any questions or requests must be submitted in writing to the CITY, to the attention of Deedee Flauding, City of San José, Department of Public Works, 200 E. Santa Clara Street, 6th Floor, San Jose, CA 95113 (facsimile: 408-292-6288 or email: Deedee.Flauding@sanjoseca.gov). The Contractor shall rely on only this prequalification submittal and any subsequent written supplement issued by the CITY for preparation of the prequalification submittal. Contractors shall not rely on any other written or any oral statements of the CITY or its officers, directors, employees, or agents regarding the PROJECT or the prequalification package in preparing and submitting the prequalification submittal.

EVALUATION AND ANALYSIS

The CITY will use the information obtained in the prequalification process to determine whether a prospective bidder is qualified to bid on the PROJECT. The CITY reserves the right to verify from other available sources the information provided by the Contractor and to rely upon such information gathered during the verification process. The CITY will evaluate the information gathered during this prequalification process by using the objective criteria set forth in this document.

NOTIFICATION

The CITY will notify each Contractor of whether it is qualified to bid on the PROJECT through a Notice of Determination. Following issuance of a Notice of Determination, the CITY reserves the right to adjust, increase, limit, suspend or rescind the prequalification rating based on subsequently learned information.

Construction documents defining the PROJECT scope of work will be available to contractors placed on the pre-qualified list, with an invitation to submit sealed bids at a subsequent time to be announced.

EFFECT OF PREQUALIFICATION

While it is the intent of the prequalification questionnaire and documents required therewith to assist the CITY in determining contractor responsibility before the bid and to aid the CITY in selecting the lowest responsible bidder, neither the fact of prequalification, nor any prequalification rating, will preclude the CITY from a post-bid consideration and determination of bidder responsibility.

Moreover, the CITY expressly reserves the right to suspend or abandon the pre-qualification process at any time.

APPEALS PROCEDURE

The CITY will deliver a written Notice of Determination to each Contractor that it determines is not qualified or responsible to bid on the PROJECT. The following procedures shall apply whenever a Contractor desires to challenge that determination.

No Contractor will have the right to appeal a determination that it is not qualified based upon its submission of a late or an incomplete pre-qualification application. Any Contractor who the CITY determines is otherwise not qualified or responsible has the right to a hearing to protest that determination. The protest by the Contractor shall be undertaken at the Contractor's expense.

The Contractor initiates a protest by delivering to the CITY a written notice requesting a hearing and setting forth in general terms the basis of the protest. The Contractor must deliver the written notice to the same location that it delivered the prequalification application from which it is appealing. The Contractor must deliver such written notice within ten (10) business days following the date of the CITY's written Notice of Determination that the Contractor is not qualified or responsible. The Contractor waives its right to appeal the CITY's decision if it fails to deliver the notice within ten (10) business days.

The Director of the Department of Public Works will conduct a hearing on the protest no later than twenty (20) business days following the Contractor's delivery of the written notice of appeal. The hearing conducted by the Director will be informal and is not an evidentiary hearing. At the hearing, the Contractor will be given the opportunity to present information and present reasons in opposition to the CITY's determination. The Director will consider all evidence, information and arguments submitted by the Contractor relevant to the CITY's determination, the CITY's response to such evidence, information and arguments, and any other information the Director deems relevant.

Within twenty (20) business days following the hearing, the Director will provide a written decision whether the Contractor is qualified or not qualified. The Director's written decision is the final determination of the issue, and the Contractor shall have no further administrative appeals.

PUBLIC RECORD

The CITY must comply with the Public Records Act and therefore cannot guarantee or represent that any information submitted by a firm/entity will be kept confidential. If the CITY receives a request for any documents submitted by a contractor in response to the CITY's request for prequalification submittals, the CITY's sole responsibility will be to notify the contractor of a request for such document to allow the contractor to seek protection from disclosure in a court of competent jurisdiction.

End of Introduction

Pre-Qualification Questionnaire

PART I. CONTACT INFORMATION

The Contractor must provide all of the following contact information to be prequalified. The Contractor must also sign this form, certifying that the statements and information contained in this submittal are complete and accurate and that the submittal contains no false or deliberately misleading information. By signing this form, the Contractor acknowledges that receipt of this submittal by the CITY does not constitute either a direct or implied guarantee to the Contractor that pre-qualification is or will be granted. By signing this form and submitting this pre-qualification questionnaire, the Contractor further agrees to be bound by the procedures and conditions of pre-qualification described in the Pre-Qualification Questionnaire.

- (1) Legal Name of Applicant (Contractor)

- (2) Address of Applicant (Contractor) _____

- (3) Phone Number _____ Fax _____

- (4) Applicant is () A Corporation () A Partnership
() A Sole Proprietorship () A Joint Venture

- (5) If Contractor is a Corporation, name the State of Incorporation _____

- (6) Number of years the applicant has been licensed Contractor in California _____

(7) Execution.

The undersigned is a legally authorized representative of the Contractor. The legal name of the Contractor is _____

State of California Contractor's License Number, including all specialty licenses and certifications:
License Number _____ Type(s): _____

Contractor's Telephone Number: _____

Contractor's Facsimile Number: _____

_____, being first duly sworn, says that all statements and
Name

information contained in this Submittal is complete and accurate and that this Submittal contains no false or deliberately misleading information.

Contractor

Printed Name

Signature

Title

Subscribed and sworn to before me this _____ day of _____, 20____

Notary Public in and for the State of _____

Residing at _____

Expiration Date: _____

End of Part I

PART II. GENERAL INFORMATION

The Contractor must provide all of the following information to be prequalified.

1. Has there been any change in ownership of the firm at any time during the last three years?
NOTE: A corporation whose shares are publicly traded is not required to answer this question.
☐ Yes ☐ No
If "yes," explain on a separate signed page.
2. Is the firm a subsidiary, parent, holding company or affiliate of another construction firm?
NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.
☐ Yes ☐ No
If "yes," explain on a separate signed page.
3. Are any corporate officers, partners or owners connected to any other construction firms?
NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.
☐ Yes ☐ No
If "yes," explain on a separate signed page.
4. State your firm's gross revenues for each of the last three years:

5. List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your firm:

6. If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license. Include their position in the company

7. Has your firm changed names or license number in the past five years?
☐ Yes ☐ No
If "yes," explain on a separate signed page, including the reason for the change.
8. Has any owner, partner or (for corporations) officer of your firm operated a construction firm under any other name in the last five years?
☐ Yes ☐ No
If "yes," explain on a separate signed page, including the reason for the change.

End of Part II

PART III. MINIMUM REQUIREMENTS FOR QUALIFICATION

NOTE: Contractor will be immediately disqualified if the answer to any of questions 1 through 4 is “no.”

1. Contractor possesses a valid and current California **CLASS B** Contractor’s license for the project for which it intends to submit a bid.
☐ Yes ☐ No
2. Contractor has a Commercial General Liability Insurance policy with a policy limit of at least \$5,000,000 per occurrence. If Commercial General Liability Insurance or other form with a general aggregate limit is used, either the general aggregate limit shall apply separately to this project/location or the general aggregate limit shall be twice the required occurrence limit. Include a letter or policy statement verifying current insurance coverage.
☐ Yes ☐ No
3. Contractor has current workers’ compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.
☐ Yes ☐ No
4. Contractor has attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states: (a) that your current bonding capacity is sufficient for the Police Southside Substation project for which you seek pre-qualification.
The engineer’s estimate for this project is approximately: **\$53,000,000**

☐ Yes ☐ No

NOTE: Notarized statement must be from the surety company, not an agent or broker.

NOTE: Contractor will be immediately disqualified if the answer to any of questions 5 through 8 is “yes.”

5. Has your contractor’s license been revoked at any time in the last five (5) years because of any performance related reasons or your failure to comply with any applicable licensing requirements?
☐ Yes ☐ No
6. Has a surety firm completed, or paid for completion of, a contract on your behalf because your firm defaulted or was terminated for cause by the project owner within the last five (5) years?
☐ Yes ☐ No
7. At the time of submitting this pre-qualification form, is your firm ineligible to bid on or be awarded any local, state or federal public works contract, or perform as a subcontractor on any such public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7 or any other local, state or federal law or regulation?
☐ Yes ☐ No
If the answer is “Yes,” state the beginning and ending dates of the period of debarment:
8. At any time during the last five years, has your firm or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?
☐ Yes ☐ No

End of Part III

PART IV. ORGANIZATIONAL PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

IV.A: Questions about History of the Business and Organizational Performance

This Part IV.A contains a total of 17 scored questions about the history of the business and its organizational performance. There is a maximum total of 81 points that you can score on these 17 questions. In order to prequalify, you must obtain a minimum of 60 points on these 17 questions.

1. How many years has your organization been in business in California as a contractor under your present business name and license number? _____ Years

Less than 3 years = 0 points

3 years or more = 2 points

4 years = 3 points

5 years = 4 pts.

6 years or more = 5 points

2. Is your firm currently the debtor in a bankruptcy case?

☐ Yes ☐ No

“No” = 3 points “Yes” = 0 points

3. Was your firm in bankruptcy any time during the last five years? (*This question refers only to a bankruptcy action that was not described in answer to question 7, above*).

☐ Yes ☐ No

“No” = 3 points “Yes” = 0 points

4. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?

☐ Yes ☐ No

“No” = 5 points “Yes” = 0 points

5. Indicate which of the following statements is true (select only one):

☐ In the last five (5) years, our firm has never been assessed and paid liquidated damages, pursuant to a construction contract with either a public or private owner, of more than \$50,000 on a project. (5 points.)

☐ In the last five (5) years, our firm has been assessed and paid liquidated damages, pursuant to a construction contract with either a public or private owner, of more than \$50,000 on one (1) project. (5 points.)

☐ In the last five (5) years, our firm has been assessed and paid liquidated damages, pursuant to a construction contract with either a public or private owner, of more than \$50,000 on each of two (2) projects. (3 points.)

- ☐ In the last five (5) years, our firm has been assessed and paid liquidated damages, pursuant to a construction contract with either a public or private owner, of more than \$50,000 on each of three (3) or more projects. (0 points.)

6. In the last five (5) years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or terminated "for cause" from a construction project, or defaulted on a construction contract, or been found not be responsible?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position.

☐ Yes ☐ No

"No" = 5 points "Yes" = 0 points

7. In the last five (5) years has your firm, or any firm with which any of your company's owners, officers or partners was associated, had an active agreement with either the CITY or any public entity to not bid on public works projects.

☐ Yes ☐ No

"No" = 5 points "Yes" = 0 points

* * * * *

NOTE: Question Nos. 8 and 9 refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.

8. In the last five (5) years, has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

☐ Yes ☐ No

"No" = 5 points "Yes" = 0 points

9. In the past five years, has an owner ever filed any claim or formally requested arbitration against your firm concerning your firm's work on a construction project?

☐ Yes ☐ No

If your answer is "yes," then state how many times this has occurred: _____

If the firm's average gross revenue for the last three years was less than \$50 million, scoring is as follows:

5 points for either "No" or "Yes" indicating 1 such instance.

3 points for "Yes" indicating 2 such instances.

0 points for "Yes" if more than 2 such instances.

If your firm's average gross revenue for the last three years was more than \$50 million, scoring is as follows:

5 points for either "No" or "Yes" indicating 1, 2, or 3 such instances.

3 points for "Yes" indicating either 4 or 5 such instances.

0 points for "Yes" if more than 5 such instances.

10. In the past five (5) years, has your firm made any claim against a project owner concerning work on a project or payment for a contract, and **filed that claim in court or formally requested arbitration of the claim?**

☐ Yes ☐ No

If your answer is "yes," then state how many times this has occurred: _____

If your firm's average gross revenues for the last three years were less than \$50 million scoring is as follows:

5 points for either "No" or "Yes" indicating 1 such instance.

3 points for "Yes" indicating 2 such instances.

0 points for "Yes" if more than 2 such instances.

If your firm's average gross revenues for the last three years were more than \$50 million, scoring is as follows:

5 points for either "No" or "Yes" indicating 1, 2, or 3 such instances.

3 points for "Yes" indicating either 4 or 5 such instances.

0 points for "Yes" if more than 5 such instances.

11. At any time during the past five (5) years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf in connection with a construction project, either public or private?

☐ Yes ☐ No

If your answer is "yes," then state how many times this has occurred: _____

5 points for either "No" or "Yes" indicating 1 such claim.

3 points for "Yes" indicating no more than 2 such claims

Subtract 5 points for "Yes" if more than 2 such claims

In the last five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

☐ Yes ☐ No

If your answer is "yes," then state how many times this has occurred: _____

5 points for either "No" or "Yes" indicating 1 such instance.

3 points for "Yes" indicating 2 such instances.

0 points for "Yes" or if more than 2 such instances.

12. Has your firm or any of its owners, officers, or partners ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes ☐ No

"No" = 5 points "Yes" = subtract 5 points

13. Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

☐ Yes ☐ No

"No" = 5 points "Yes" = subtract 5 points

14. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ Yes ☐ No

"No" = 5 points "Yes" = subtract 5 points

15. If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

_____ %

5 points if the rate is no more than one per cent

3 points if the rate was no higher than 1.10 per cent.

1 points for any other answer.

16. During the last five years, has your firm ever been denied bond credit by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

☐ Yes ☐ No

"No" = 5 points "Yes" = 0 points

End of Part IV.A

IV.B: Questions about compliance with safety, workers compensation, prevailing wage and apprenticeship laws.

This Part IV.B contains a total of 8 scored questions about compliance with safety, workers compensation, prevailing wage and apprenticeship laws. There is a maximum total of 38 points that you can score on these 8 questions. In order to prequalify, you must obtain a minimum of 27 points on these 8 questions.

1. Has CAL OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?

Note: If you have filed an appeal of a citation and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If your answer is “yes,” then state how many times this has occurred: _____

If the firm’s average gross revenues for the last three years were less than \$50 million, scoring is as follows:

5 points for either “No” or “Yes” indicating only 1 such instance.

3 points for “Yes” indicating 2 such instances.

0 points for “Yes” if more than 2 such instances.

If the firm’s average gross revenues for the last three years were more than \$50 million, scoring is as follows:

5 points for either “No” or “Yes” indicating 1, 2, or 3 such instances.

3 points for “Yes” indicating either 4 or 5 such instances.

0 points for “Yes” if more than 5 such instances.

2. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?

Note: If you have filed an appeal of a citation and the appropriate appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If your answer is “yes,” then state how many times this has occurred and attach a separate signed page describing each citation: _____

If the firm’s average gross revenues for the last three years were less than \$50 million, scoring is as follows:

5 points for either “No” or “Yes” indicating 1 such instance.

3 points for “Yes” indicating 2 such instances.

0 points for “Yes” or if more than 2 such instances.

If the firm's average gross revenues for the last three years were more than \$50 million, scoring is as follows:

5 points for either "No" or "Yes" indicating 1, 2, or 3 such instances.

3 points for "Yes" indicating either 4 or 5 such instances.

0 points for "Yes" if more than 5 such instances.

3. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If your answer is "yes," then state how many times this has occurred: _____

If the firm's average gross revenues for the last three years were less than \$50 million, scoring is as follows:

5 points for either "No" or "Yes" indicating 1 such instance.

3 points for "Yes" indicating 2 such instances.

0 points for "Yes" or if more than 2 such instances.

If the firm's average gross revenues for the last three years were more than \$50 million, scoring is as follows:

5 points for either "No" or "Yes" indicating 1, 2, or 3 such instances.

3 points for "Yes" indicating either 4 or 5 such instances.

0 points for "Yes" if more than 5 such instances.

4. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

3 points for an answer of once each week or more often.

0 points for any other answer

5. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.

Current year: _____

Previous year: _____

Year prior to previous year: _____

If your EMR for any of these three years is or was 1.00 or higher, you may, if you wish, attach a letter of explanation.

NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.

5 points for three-year average EMR of .95 or less

3 points for three-year average of EMR of more than .95 but no more than 1.00

0 points for any other average EMR

6. Within the last five years, has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If your answer is "yes," then state how many times this has occurred: _____

5 points for either "No" or "Yes" indicating 1 such instance.

0 points for any other answer.

7. Has there been any occasion during the last five years on which your firm was required to pay either back wages or penalties for your own firm's failure to comply with any state or local prevailing wage laws?

☐ Yes ☐ No

NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

If your answer is "yes," then state how many times this has occurred in total: _____

If your firm's average gross revenues for the last three years were less than \$50 million, scoring is as follows:

5 points for either "No," or "Yes" indicating either 1 or 2 such instance.

3 points for "Yes" indicating 3 such instances.

0 points for "Yes" and more than 3 such instances.

If your firm's average gross revenues for the last three years were more than \$50 million, scoring is as follows:

5 points for either "No" or "Yes" indicating no more than 4 such instances.

3 points for "Yes" indicating either 5 or 6 such instances.

0 points for "Yes" and more than 6 such instances.

8. During the last five (5) years, has there been any occasion on which your own firm has been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If your answer is "yes," then state how many times this has occurred: _____

If your firm's average gross revenues for the last three years were less than \$50 million, scoring is as follows:-

5 points for either "No,"-or "Yes" indicating either 1 or 2 such instance.

3 points for "Yes" indicating 3 such instances.

0 points for "Yes" and more than 3 such instances.

If your firm's average gross revenues for the last three years were more than \$50 million, scoring is as follows:

5 points for either "No" or "Yes" indicating no more than 4 such instances.

3 points for "Yes" indicating either 5 or 6 such instances.

0 points for "Yes" and more than 6 such instances.

End of Part IV.B

PART V. RECENT CONSTRUCTION PROJECTS COMPLETED

This Part V requires the Contractor to submit information on at least 3 and up to six projects that they completed in the last 5 years. Each project submitted properly will receive a base score (see below) and additional points for 5 scored questions about the characteristics of the project. There is a maximum total of 390 points possible in Part V. In order to prequalify, you must obtain a minimum of 180 points.

Projects with incomplete, unverifiable or inaccurate information will not be scored. Projects that are submitted properly will be scored by the City according to the criteria in “***bold italics***” after each question.

Contractor shall provide information about:

- A. Project Info: Names and references must be current and verifiable. For each project submitted, use separate sheets of paper that contain all of the following information:

NOTE: Items 1 through 8 must be filled out to for this form to be deemed complete and for this project to be scored at all.

1. Project Category (Check one)

- ☐ **A:** A civic (*local, state or federal*) public safety (*law enforcement, E.O.C/911 and/or fire protection*) building project with over 50,000 square feet of new building construction completed in the last five years. (*Base Score - 20 points*)
- ☐ **B:** A civic or private sector project with over 50,000 square feet of new building construction completed in the last five years. (*Base Score - 10 points*)

2. Project Name: _____

3. Location: _____

4. Owner: _____

5. Description of Project & Scope of Work Performed:

6. Owner Contact (name and current phone number):

a. Name: _____ Phone: _____

7. The names and current phone numbers of the Owner’s project manager and of at least one other person who you believe are best qualified to answer the interview questions set forth in Part VI of this Prequalification package.

a. Name: _____ Phone: _____

b. Name: _____ Phone: _____

8. The names and current phone numbers of the Architect or Engineer:
a. Name: _____ Phone: _____
9. The names and current phone numbers of the Construction Manager:
a. Name: _____ Phone: _____

NOTE: Items 9 through 13 must be filled out for this form to be deemed complete and scored. Scores will be assigned as indicated in *bold italics* after each item.

10. Total Building Gross Square Footage: _____

<i>Projects >50,000 to <60,000 GSF</i>	<i>= 1 point</i>	<i>Projects >100,000 but <150,000 GSF</i>	<i>=6 points</i>
<i>Projects >60,000 to <75,000GSF</i>	<i>= 2 points</i>	<i>Projects >150,000 but <200,000 GSF</i>	<i>= 8 points</i>
<i>Projects >75,000 to <100,000GSF</i>	<i>= 4 points</i>	<i>Projects >200,000 GSF</i>	<i>=10 points</i>

11. Total Value of Construction (including change orders): _____

<i>Projects \$10 Million or less</i>	<i>= 0 points</i>	<i>Projects >\$30 Million to \$50 Million</i>	<i>=3 points</i>
<i>Projects > \$10 Million to \$15 Million</i>	<i>= 1 point</i>	<i>Projects >\$50 Million to \$100 Million</i>	<i>=4 points</i>
<i>Projects >\$15 Million to \$30 Million</i>	<i>= 2 points</i>	<i>Projects over \$100 Million</i>	<i>=5 points</i>

12. Was the project Designed and built as an “Essential Services Building”?

☐ Yes ☐ No
“No” = 0 points “Yes” = 10 points

13. Was the project LEED Certified ?

☐ Yes ☐ No
“No” = 0 points “Yes” = 10 points

14. Provide Schedule info:

- a. Original Contract Completion Date: _____
- b. Time Extensions Granted (number of days): _____
- c. Contract End Date (a+b): _____
- d. Actual Date of Completion: _____

Projects completed on or before the Contract End Date = 10 Points.
Subtract 2 points for every 30 days or fraction thereof that the Actual Date of Completion is beyond the Contract End Date. At 121 days or greater beyond the Contract End Date this item will be scored at 0 points.

End of Part V

The contractor shall sign and notarize this Certification. Failure to include the Certification will preclude prequalification and subsequent participation in the bidding and construction of the PROJECT.

CERTIFICATION

* * * *

I, the undersigned, certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Contractor

Printed Name

Signature

Title

Subscribed and sworn to before me this _____ day of _____, 20____

Notary Public in and for the State of _____

Residing at _____

Expiration Date:

CONTRACTOR: _____ PROJECT: _____

Please review the following statements and select the point level that best reflects your experience with the contractor on the project in question.

Scored Statements:

Score:

1. PERSONNEL: Throughout the project, the contractor generally provided enough personnel, and provided personnel at all levels with sufficient experience given the type and size project, to perform the contract requirements. _____

0 points = The Contractor consistently failed to meet this standard.

5 points = The Contractor marginally met this standard.

10 points = The Contractor consistently exceeded this standard.

2. SUPERVISION: Throughout the project, the contractor generally coordinated the work and provided the supervision necessary to maintain the critical path schedule, to resolve multi-trade conflicts ahead of critical path activities and to avoid the need for the owner to reject work more often than would reasonably be expected for a project of this type and size. _____

0 points = The Contractor consistently failed to meet this standard.

5 points = The Contractor marginally met this standard.

10 points = The Contractor consistently exceeded this standard.

3. EQUIPMENT: Throughout the project, the contractor generally provided the equipment necessary to complete the work as required by the contract requirements, and such equipment was operable and provided in a timely manner. _____

0 points = The Contractor consistently failed to meet this standard.

5 points = The Contractor marginally met this standard.

10 points = The Contractor consistently exceeded this standard..

4. ADMINISTRATION: Throughout the project, the contractor generally provided complete and accurate reports and other paperwork, including change order paperwork and scheduling updates, in a timely manner and as otherwise necessary to meet the contract requirements. _____

0 points = The Contractor consistently failed to meet this standard.

5 points = The Contractor marginally met this standard.

10 points = The Contractor consistently exceeded this standard.

5. SCHEDULE: Throughout the project, the contractor generally adhered to the project schedule that the owner approved pursuant to the contract requirements. _____

0 points = The Contractor consistently failed to meet this standard.

5 points = The Contractor marginally met this standard.

10 points = The Contractor consistently exceeded this standard.

CONTRACTOR: _____ PROJECT: _____

6. EXECUTION: Did the contractor complete the project by the contractually required completion date (including all time extensions granted by the owner)? _____
*10 points if the answer is "Yes" or,
Subtract 2 points for every 30 days beyond the accepted schedule that the Contractor took to complete the work – exclusive of minor "punch list" items –lowest possible score is 0.*
7. CHANGE ORDERS: Throughout the project, the contractor generally submitted in a timely manner estimates to perform change order work, and such submittals contained readily verifiable time, material and labor estimates. _____
*0 points = The Contractor consistently failed to meet this standard.
5 points = The Contractor marginally met this standard.
10 points = The Contractor consistently exceeded this standard.*
8. RESPONSIVENESS: Throughout the project, the contractor generally performed change order work in the manner required by the approved change order, and integrated the change order work into the existing work so as to minimize disruptions to the approved schedule and to the quality of the work. _____
*0 points = The Contractor consistently failed to meet this standard.
5 points = The Contractor marginally met this standard.
10 points = The Contractor consistently exceeded this standard.*
9. PAYMENT OF SUBCONTRACTORS: Which of the following statements most correctly describes the number of stop notices and/or mechanics liens on the project: _____
*0 points = Throughout the project, there were 10 or more cases of subcontractors and/or suppliers submitting stop notices and/or mechanics liens due to lack of timely payment.
3 points = Throughout the project, there were 5 to 9 cases of subcontractors and/or suppliers submitting stop notices and/or mechanics liens due to lack of timely payment.
7 points = Throughout the project, there were 2 to 4 cases of subcontractors and/or suppliers submitting stop notices and/or mechanics liens due to lack of timely payment.
10 points = Throughout the project, there was no more than 1 case of a subcontractor or supplies submitting stop notices and/or mechanics liens due to lack of timely payment.*
10. PROJECT CLOSE OUT: The contractor generally submitted Operation & Maintenance manuals, completed as-built drawings, provided training, and performed all punch-list and warranty item work, all in the manner required by the construction contract. _____
*0 points = The Contractor consistently failed to meet this standard.
5 points = The Contractor marginally met this standard.
10 points = The Contractor consistently exceeded this standard.*

GRAND TOTAL: _____

END of PART VI